UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

38823

7590

10/05/2009

AT&T Legal Department - TKHR Attn: Patent Docketing One AT&T Way Room 2A-207 Bedminster, NJ 07921 EXAMINER

PHAN, TUANKHANH D

ART UNIT

PAPER NUMBER

2163

DATE MAILED: 10/05/2009

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/685,558	10/14/2003	W. Todd Daniell	030458; 190250-1610	4932	

TITLE OF INVENTION: PHONETIC FILTERING OF UNDESIRED EMAIL MESSAGES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/05/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notifica	ed below or directed otl	ng the Patent, advance o herwise in Block 1, by (orders and notification of a) specifying a new co	of m orresp	naintenance fees w pondence address;	ill be and/or	mailed to the current of (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 38823 7590 10/05/2009					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
AT&T Legal I Attn: Patent Doo One AT&T Way] { {	I here State addre trans	eby certify that thi s Postal Service w essed to the Mail	s Fee(s ith suf Stop	of Mailing or Transn) Transmittal is being ficient postage for first ISSUE FEE address a 1) 273-2885, on the da	deposited with the United t class mail in an envelope above, or being facsimile			
Room 2A-207 Bedminster, NJ					(Depositor's name)				
Dediffilister, NJ	0/921							(Signature)	
			[(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	ГOR		ATTO:	RNEY DOCKET NO.	CONFIRMATION NO.	
10/685,558	10/14/2003		W. Todd Daniell			0304	58; 190250-1610	4932	
TITLE OF INVENTION	: PHONETIC FILTERII	NG OF UNDESIRED EM	MAIL MESSAGES						
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1510	\$300		\$0		\$1810	01/05/2010	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	ss					
PHAN, TUA	NKHANH D	2163	709-200000						
"Fee Address" ind	ange of Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to							
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Com GNEE	pletion of this form is NC	data will appear on th T a substitute for filing (B) RESIDENCE: (C	ie pa an a ITY	tent. If an assigne assignment. and STATE OR C	OUNT	RY)	ocument has been filed for	
Please check the appropr	riate assignee category or	r categories (will not be p	rinted on the patent):		Individual 🖵 Co	rporati	on or other private gro	up entity Government	
4a. The following fee(s)	are submitted:	4	b. Payment of Fee(s): (l	Pleas	se first reapply an	y prev	iously paid issue fee s	hown above)	
Issue Fee			A check is enclosed.						
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any						
			overpayment, to D	epos	sit Account Numbe	r	(enclose an	extra copy of this form).	
5. Change in Entity Sta	i tus (from status indicate as SMALL ENTITY stati		☐ b. Applicant is no.	long	sor claiming SMAI	LENT	TITY status. See 37 CF	$P = 1.27(\alpha)(2)$	
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte	ed from anyone other th	_				e assignee or other party in	
interest as shown by the	records of the United Sta	ites Patent and Trademark	k Office.						
Authorized Signature					Date				
Typed or printed name			Registration No.						
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO	CFR 1.311. The informati 5 U.S.C. 122 and 37 CFR 6 USPTO. Time will vary rden, should be sent to the O NOT SEND FEES OR	on is required to obtain 1.14. This collection is y depending upon the ir ne Chief Information Of COMPLETED FORMS	or re s esti ndivi fficer S TO	etain a benefit by the mated to take 12 n idual case. Any coi r, U.S. Patent and 7 7 THIS ADDRESS	ne publ ninutes mment Fraden . SENI	ic which is to file (and to complete, including s on the amount of tim ark Office, U.S. Depa D TO: Commissioner for	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,	

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/685,558	10/14/2003	W. Todd Daniell	030458; 190250-1610	4932	
38823 75	90 10/05/2009		EXAM	INER	
AT&T Legal Der	oartment - TKHR	PHAN, TUANKHANH D			
Attn: Patent Docke		ART UNIT	PAPER NUMBER		
One AT&T Way Room 2A-207 Bedminster, NJ 079	921		2163 DATE MAILED: 10/05/200	9	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1030 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1030 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Annlicant(a)			
	Application No.	Applicant(s)			
Notice of Allowability	10/685,558	DANIELL ET AL.			
Notice of Allowability	Examiner	Art Unit			
	TUAN-KHANH PHAN	2163			
The MAILING DATE of this communication appeal all claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not included nunication will be mailed in due course			
1. This communication is responsive to 9/16/2009.					
2. ☑ The allowed claim(s) is/are <u>1-20</u> .					
 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 	e been received.				
3. Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application fro	m the		
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requireme	ents		
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			: OF		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.				
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Revie	ew (PTO-948) attached			
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date					
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	or in the Office action of			
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			of		
 DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT 			е		
Attachment(s)	5 N (1 - 61				
1. Notice of References Cited (PTO-892)		nformal Patent Application			
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No	Summary (PTO-413), ./Mail Date			
3. Information Disclosure Statements (PTO/SB/08),	7. 🛛 Examiner'	s Amendment/Comment			
Paper No./Mail Date <u>8/14/09 and 9/16/09</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. ☐ Examiner'	s Statement of Reasons for Allowance)		
of Biological Material		9.			
/T. P./	/don wong/	<u>_</u> ·			
Examiner, Art Unit 2163		atent Examiner, Art Unit 2163			
	2 Spo. Visory 1 V				

DETAILED ACTION

This action is responsive to the following communication:

Information Disclosure Statements by Applicant: filed 5/11/2009; filed 7/09/2009; filed 8/14/2009; filed 9/16/2009. Thus, the above IDS have been considered.

Request for continued Examination, filed 5/11/2009.

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with the Applicants' representative, Anthony F. Bonner on Thursday Jan. 15 and Thursday Jan. 22, 2009 (confirmation message).

The application has been amended as follows:

Claim 1. (Currently Amended) A method comprising:

training an email system for determining spam, where training includes at least the following:

receiving and email message having a word;

retrieving a first email message;

generating a phonetic equivalent of the at least one word from a body portion of the email message;

tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

Art Unit: 2163

tokenizing at least one word in a subject line of the first email message;

tokenizing at least one simple mail transfer protocol (SMTP) email address associated with the first email message;

tokenizing at least one domain name associated with the first email message;

tokenizing at least one attachment of the first email message, wherein tokenizing
the at least one attachment includes in generating a 128-bit MD5 hash of the
attachment, appending a 32-bit length of the attachment to the, generated MD5 hash
resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

determining a spam probability from the generated token tokens;

in response to determining a determination that the spam probability from the generated token, tokens indicates that the first email message is likely spam:

determining whether the, generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning whether the token exists in a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to determining a determination that the token exits is present in the database of tokens, updating a probability value of the token; and

in response to determining a determination that the spam probability from the generated tokens, tokens, indicates that the first email message is not likely spam:

determining whether the generated tokens are present in a database of tokens;

Page 4

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and

in response to determining that the token does not exist in the database of tokens, assigning a probability value indicative of spam to the token.

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

2. (Previously Presented) The method of claim 1, wherein generating the phonetic equivalent of the word comprises:

identifying a string of characters, the string of characters including a nonalphabetic character; and removing the non-alphabetic character from the string of characters.

3. (Previously Presented) The method of claim 2, wherein removing the non- alphabetic character comprises:

locating a non-alphabetic character within the string of characters, the non-alphabetic character being at least one selected from the group consisting of:

Art Unit: 2163

```
" (quote);
' (single quote);
! (exclamation mark);
@ (at); # (pound); $ (dollar);
% (percent);
^ (caret);
& (ampersand);
* (asterisk);
( (open parenthesis);
) (close parenthesis);
_ (underscore);
- (hyphen);
+ (plus);
= (equal);
\ (backslash);
/ (slash);
? (question mark);
 (space);
    (tab);
[ (open square bracket);
] (close square bracket);
{ (open bracket);
```

Art Unit: 2163

```
} (close bracket);
< (less than);
> (greater than);
, (comma);
:(colon);
;(semi-colon); and
. (period).
```

4. (Previously Presented) The method of claim 1, wherein determining the spam probability comprises:

assigning a spam probability value to the token; and generating a Bayesian probability value using the spam probability value assigned to the token.

- 5. (Previously Presented) The method of claim 4, wherein determining the spam probability further comprises: comparing the generated Bayesian probability value with a predefined threshold value.
- 6. (Previously Presented) The method of claim 5, wherein determining the spam probability further comprises: categorizing the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.
- 7. (Previously Presented) The method of claim 5, wherein determining the spam probability further comprises: categorizing the email message as non-spam

Art Unit: 2163

in response to the Bayesian probability value being not greater than the predefined threshold.

8. (Currently Amended) A <u>training email system for determining spam on a computer storage medium</u> comprising:

means for receiving an email message having a word; word and an attachment;

means for generating a phonetic equivalent of the at least one word from a body portion of the email message;

means for tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

means for tokenizing at least one word in a subject line of the first email message;

means for tokenizing at least one word in a subject line of the first email

message; tokenizing at least one simple mail transfer protocol (SMTP) email address

associated with the first email message;

means for tokenizing at least one domain name associated with the first email message;

means for tokenizing at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

means for determining a spam probability from the generated tokens;

Art Unit: 2163

in response to a determination that the spam probability from the generated tokens, means for indicating that the first email message is likely spam:

means for determining whether the generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, means for assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, means for updating a probability value of the token; and

in response to a determination that the spam probability from the generated tokens, means for indicating that the first email message is not likely spam:

determining whether the , generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and a means for tokenizing the attachment;

means for determining a spam probability from the generated token; and

means for sorting the generated tokens in accordance with the corresponding determined spam probability value.

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

- 9. (Currently Amended) A system comprising:
- a processor; and
- a memory, the memory storing:

receive logic configured to receive an email message having a word; word and an attachment;

phonetic logic configured to generate a phonetic equivalent of the word from the email message;

first tokenize logic configured to tokenize the phonetic equivalent of the word to generate a token representative of the phonetic equivalent; and

second tokenize logic configured to tokenize the attachment;

tokenizing at least one word in a subject line of the first email message;

tokenizing at least one simple mail transfer protocol (SMTP) email address associated with the first email message;

tokenizing at least one domain name associated with the first email message;

Art Unit: 2163

tokenizing at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

determining a spam probability from the generated tokens;

in response to a determination that the spam probability from the generated tokens indicates that the first email message is likely spam:

determining whether the, generated tokens are present in a database of tokens;

in response to a determination that at least one of the generated tokens is not present in the database of tokens, assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; and

in response to a determination that the spam probability from the
generated tokens, indicates that the first email message is not likely spam:

determining whether the generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each

Art Unit: 2163

token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the, generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

spam-determination logic configured to determine a spam
probability from the generated tokens; and sorting logic configured to sort
the generated tokens in accordance with the corresponding determined
spam probability value.

10. (Previously Presented) The system of claim 9, the memory further storing:

string-identification logic configured to identify a string of characters, the string of characters including a non-alphabetic character; and

character-removal logic configured to remove the non-alphabetic character from the string of characters.

11. (Previously Presented) The system of claim 10, the memory further storing: spam-probability logic configured to assign a spam probability value to the token; and Bayesian logic configured to generate a Bayesian probability value using the spam probability value assigned to the token.

Art Unit: 2163

12. (Previously Presented) The system of claim 11, the memory further storing: compare logic configured to compare the generated Bayesian probability value with a predefined threshold value.

- 13. (Previously Presented) The system of claim 12, the memory further storing: spam-categorization logic configured to categorize the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.
- 14. (Previously Presented) The system of claim 12, the memory further storing: spam-categorization logic configured to categorize the email message as non-spam in response to the Bayesian probability value being not greater than the predefined threshold.
- 15. (Currently Amended) A computer-readable medium that includes a program that, when executed by a computer, causes the computer to perform at least the following:

a processor; and a memory, the memory storing:

computer readable code adapted to instruct a programmable device to receive an email message having a word and an attachment;

computer readable code adapted to instruct a programmable device to generate a phonetic equivalent of the word from the email message;

computer readable code adapted to instruct a programmable device to tokenize the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

tokenize the attachment;

generate a phonetic equivalent of at least one word from a body portion of the email message;

tokenize the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

tokenize at least one word in a subject line of the first email message;

tokenizing at least one simple mail transfer protocol (SMTP) email address

associated with the first email message;

tokenize at least one domain name associated with the first email message;

tokenize at least one attachment of the first email message, wherein tokenizing the at least one attachment includes in generating a 128-bit MD5 hash of the attachment, appending a 32-bit length of the attachment to the, generated MD5 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit number;

determine a spam probability from the generated tokens;

in response to a determination that the spam probability from the generated tokens, indicate that the first email message is likely spam:

determine whether the, generated tokens are present in a database of tokens;

Art Unit: 2163

in response to a determination that at least one of the,
generated tokens is not present in the database of tokens,
assigning a probability value for each token as spam and adding
the token and assigned probability value to the database of tokens;
and

in response to a determination that the token is present in the

database of tokens, updating a probability value of the token; and

in response to a determination that the spam probability from the

generated tokens, indicates that the first email message is not likely spam:

determining whether the generated tokens are present in a database of tokens;

in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and

in response to a determination that the token is present in the database of tokens, update a probability value of the token;

sort the generated tokens in accordance with the corresponding determined spam probability value; and filter a second email message according to the training.

computer readable code adapted to instruct a programmable device to determine a spam probability from the generated token; and

Art Unit: 2163

sort the generated tokens in accordance with the corresponding determined spam probability value.

16. (Currently Amended) The computer-readable medium of claim 15, the memory further storing: the program further causing the computer to perform at least the following:

computer readable code adapted to instruct a programmable device to identify a string of characters, the string of characters including a non-alphabetic character; and

computer readable code adapted to instruct a programmable device to remove the non- alphabetic character from the string of characters.

- 17. (Currently Amended) The computer-readable medium of claim 15, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to assign a spam probability value to the token; and computer readable code adapted to instruct a programmable device to generate a Bayesian probability value using the spam probability value assigned to the token.
- 18. (Currently Amended) The computer-readable medium of claim 17, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to compare the generated Bayesian probability value with a predefined threshold value.

Art Unit: 2163

19. (Currently Amended) The computer-readable medium of claim 18, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to categorize the email message as spam in response to the Bayesian probability value being greater than the predefined threshold.

20. (Currently Amended) The computer-readable medium of claim 18, the memory further storing: the program further causing the computer to perform at least the following: computer readable code adapted to instruct a programmable device to categorize the email message as non-spam in response to the Bayesian probability value being not greater than the predefined threshold.

Allowable Subject Matter

Claims 1-20 are allowed.

The following is an examiner's statement of reasons for allowance: Independent claims 1, 8, 9 and 15, when considered as a whole, are allowable over the prior arts of records. Specifically, prior arts of records fail to clearly teach or fairly suggest the combination of the following limitations:

- generating a phonetic equivalent of at least one word from a body portion of the email message;
- tokenizing the phonetic equivalent of the word to generate a token representative of the phonetic equivalent;

Art Unit: 2163

tokenizing at least one word in a subject line of the first email message;
 tokenizing at least one simple mail transfer protocol (SMTP) email address
 associated with the first email message;

- tokenizing at least one domain name associated with the first email message;
- tokenizing at least one attachment of the first email message, wherein tokenizing
 the at least one attachment includes in generating a 128-bit MD5 hash of the
 attachment, appending a 32-bit length of the attachment to the, generated MD5
 hash resulting in a 160-bit number, and UUencoding the resulting 160-bit
 number;
- determining a spam probability from the generated tokens;
- in response to a determination that the spam probability from the generated tokens, indicates that the first email message is likely spam:
 - determining whether the, generated tokens are present in a database of tokens;
 - in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token as spam and adding the token and assigned probability value to the database of tokens; and
 - in response to a determination that the token is present in the database of tokens, updating a probability value of the token; and

Art Unit: 2163

 in response to a determination that the spam probability from the generated tokens, indicates that the first email message is not likely spam:

- determining whether the generated tokens are present in a database of tokens;
- in response to a determination that at least one of the, generated tokens is not present in the database of tokens, assigning a probability value for each token indicative of non-spam and adding the token and assigned probability value to the database of tokens; and
- in response to a determination that the token is present in the database of tokens, updating a probability value of the token; sorting the generated tokens in accordance with the corresponding determined spam probability value; and filtering a second email message according to the training.

The dependent claims 2-7, 10-14 and 16-20, further add limitations to the allowable subject matter of the corresponding independent claims; thus they are also allowable.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably

Art Unit: 2163

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TUAN-KHANH PHAN whose telephone number is (571)270-3047. The examiner can normally be reached on 4/5/9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don Wong can be reached on 571-272-1834. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/T. K. P./ Examiner, Art Unit 2163 /don_wong/

Supervisory Patent Examiner, Art Unit 2163